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UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 C.F.R. §1.53(b).

09-27-01

PTO/SB/05 (2/98)
Approved for use through 09/30/2000, OMB 0651-0032
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCEJ1554 U.S. PRO
09/26/01

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>		ADDRESS TO: Attorney Docket No. PC9472B First Named Inventor or Application Identifier David Ringshaw Title CYCLODEXTRIN COMPOSITIONS Express Mail Label No. EL625565075US	
1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for processing)</small>		6. <input type="checkbox"/> Microfiche Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Specification [Total Pages 18] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference in Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 		7. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies 	
ACCOMPANYING APPLICATION PARTS			
8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small>			
10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations			
12. <input checked="" type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>			
14. <input type="checkbox"/> *Small Entity <input type="checkbox"/> Statement filed in prior application, Status still proper and desired <small>(PTO/SB/09-12)</small>			
15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>			
16. <input checked="" type="checkbox"/> Other: Priority Claim Great Britain Appln. No. 9801109.1 Filed Jan. 20, 1998			
<small>NOTE FOR ITEMS 1 & 16: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</small>			
17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: 09/232,298			
Prior application information: Examiner Howard Owens Group/Art Unit 1623			

18. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label <i>(Insert Customer No. or Attach bar code label here)</i> or <input checked="" type="checkbox"/> Correspondence address below				
Name	Paul H. Ginsburg			
Address	Pfizer Inc			
Address	235 East 42nd Street, 20th Floor			
City	New York	State	New York	Zip Code 10017-5755
Country	United States Of America		Telephone (212)573-2369	Fax (212)573-1939
NAME (Print/type)	E. Victor Donahue		Registration No. (Attorney/Agent) 35,492	Date September 26, 2001
Signature	<i>E. V. D.</i>			

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective October 1, 1997.

Small Entity payments **must** be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12

See 37 C.F.R. §§ 1.27 and 1.28.

Total Amount of Payment **(S)** 10.00

METHOD OF PAYMENT (check one)

The commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number
16-1445

Deposit Account Name
Pfizer Inc

Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

Payment Enclosed:

Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
161	710	201	356	Utility filing fee	710.00
166	320	206	160	Design filing fee	
171	490	207	245	Plant filing fee	
180	710	208	355	Reissue filing fee	
174	150	214	75	Provisional filing fee	
SUBTOTAL (1) (S)					710.00

2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid	
Total Claims 5	-20** = 0 X 0 = 0		
Independent Claims 1	- 3*** = 0 X 0 = 0		
Multiple Dependent		= 0	
** or number previously paid, if greater; For Reissues, see below			
Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	
103	18	203	9
102	80	204	40
104	270	204	135
109	80	209	40
110	18	210	9
**Release independent claims over original patent			
**Release claims in excess of 20 and over original patent			
SUBTOTAL (2) (S)			
0			

Application Number	Express Mail Label No. EL625565075US
Filing Date	September 26, 2001
First Named Inventor	David Ringshaw
Examiner Name	H. Owens, Jr. (Anticipated)
Group/Art Unit	1623 (Anticipated)
Attorney Docket No.	PC9472B

FEE CALCULATION (continued)

3. ADDITIONAL FEES	Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
	105	130	200	65	Surcharge - late fee or oath	
	127	50	227	25	Surcharge--late provisional filing fee or cover sheet	
	139	130	139	130	Non-English specification	
	147	2,520	147	2,520	For filing a request for reexamination	
	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
	115	110	215	55	Extension for reply within first month	
	116	390	216	195	Extension for reply within second month	
	117	890	217	445	Extension for reply within third month	
	118	1,390	218	695	Extension for reply within fourth month	
	128	1,890	228	945	Extension for reply within fifth month	
	119	310	219	155	Notice of Appeal	
	120	310	220	155	Filing a brief in support of an appeal	
	121	270	221	135	Request for oral hearing	
	138	1,510	138	1,510	Petition to institute a public use proceeding	
	140	110	240	55	Petition to revive - unavoidable	
	141	1,240	241	620	Petition to revive - unintentional	
	142	1,240	242	620	Utility issue fee (or reissue)	
	143	440	243	220	Design issue fee	
	144	600	244	300	Plant issue fee	
	122	130	122	130	Petitions to the Commissioner	
	123	50	123	50	Petitions related to provisional applications	
	126	240	126	240	Submission of Information Disclosure Statement	
	581	40	581	40	Recording each patent assignment per property (times number of properties)	
	146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
	149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
	Other Fee (specify)					
	Other Fee (specify)					
	-Reduced by Basic Filing Fee Paid					
						SUBTOTAL (3) (S)
						0

FILED TODAY IN PARENT

SUBMITTED BY	Complete if Known		
Type or Printed Name	E. Victor Donahue	Reg. Number	35,492
Signature	<i>EVT</i>	Date	September 26, 2001
		Deposit Account User ID	

Certificate of Express Mailing under 37 CFR 1.10

The undersigned hereby certifies that the listed documents attached hereto were deposited this day with the United States Postal Service as Express Mail, Post Office to Addressee, postage prepaid, in an envelope bearing **Express Mail Label
EL625565075US** addressed to the Commissioner for Patents, Box New Application, Washington, DC 20231.

Utility Application Transmittal (in duplicate)

Fee Calculation Sheet (in duplicate)

Preliminary Amendment

Specification and claims entitled "CYCLODEXTRIN COMPOSITIONS " consisting of 14 pages of text, 3 pages of claims, 1 page Abstract

Copy of Inventor Declaration from prior application

IDS and Forms A820/1449 (**copies of publications not provided**)

Copy of Petition for Extension of time (3 months) paid 9/26/01 in 09/232,298 parent

Return Receipt Postcard

Date: September 26 , 2001


E. Victor Donahue